



ROCK-IT CARGO

SUPPLY CHAIN SECURITY RECOMMENDATIONS AND GUIDELINES

Rock-It Cargo USA LLC is a certified member of CTPAT (Customs Trade Partnership Against Terrorism). Consistent with the Company's obligations and responsibilities under CTPAT, Rock-It strongly recommends that all business partners (customers, vendors, service providers, etc.) comply with the following supply chain security guidelines:

1. To maintain their own CTPAT or CTPAT equivalent (AEO, PIP, etc.) certification status (if eligible).
2. To immediately notify Rock-It in the event of a change in CTPAT or CTPAT equivalent status (if applicable).
3. To ensure that all information used in the processing of cargo is legible, complete, accurate and protected against the exchange, loss or introduction of erroneous information.
4. To secure, protect and restrict access to all electronic data systems, documentation and other information relating to cargo shipments.
5. To ensure that computer systems are secure including the use of passwords, current anti-virus and anti-spy software to prevent infiltration.
6. To properly secure its facilities from unauthorized access, which might include electronic access systems, fencing, security guards, security cameras and lighting.
7. To properly train shipping staff to properly inspect all merchandise and containers prior to loading.
8. To properly train shipping staff to inspect for pest contamination and eliminate contaminants prior to loading.
9. To ensure Wood Packaging Materials (WPM) used comply with the International Plant Protection Convention's (IPPC) International Standards for Phytosanitary Measures No. 15 (ISPM 15).
10. To properly secure all cargo loading and handling areas and all transportation equipment storage areas.
11. To restrict access to authorized personnel only.
12. To verify and ensure that ISO/PAS 17712 Certified high security seals are properly placed on all containers/trailers bound for the United States.
13. To properly train its staff on identifying and reporting suspicious people, activities, objects and packages.
14. To regularly evaluate and self-assess its supply chain security procedures and internal controls.
15. To grant access to its facilities at any time so that Rock-It or its authorized agents can conduct security assessments and evaluations.
16. To report any known or suspected supply chain security threats, incidents, or breaches immediately to U.S. Customs and Border Protection (CBP), all applicable authorities, as well as to Rock-It at compliance@rockitcompany.com.

Rock-It invites all business partners involved in cross-border transport to become members and join in the effort to create a more secure and expeditious supply chain. For more information on how to become a member of CTPAT, navigate to <https://www.cbp.gov/border-security/ports-entry/cargo-security/ctpat>